

# PETER VICKERS INVESTMENT SERVICES

AUSTRALIAN FINANCIAL SERVICES LICENCE NUMBER: 229302

## Supplementary Financial Services Guide

This document, the Supplementary Financial Services Guide (SFSG) dated 4<sup>th</sup> January 2008, should be read in conjunction with the Financial Services Guide (FSG) dated 5<sup>th</sup> February 2009. Distribution of the FSG by the Authorised Representative/Adviser detailed below has been approved by **Peter Vickers Investment Services**, a division of *Peter Vickers Insurance Brokers Pty Ltd*, Australian Financial Services Licence Number 229302.

### Authorised Representative Number 308216

<p><b>Introduction</b></p>	<p>Peter Vickers and Associates Pty Ltd (ABN 60 003 466 813) is an Authorised Representative of <b>Peter Vickers Investment Services, PVIS</b>, a division of <i>Peter Vickers Insurance Brokers Pty Ltd</i>. PVIS commenced operations on 11 October 2005.</p>
<p><b>Educational qualifications and experience</b></p>	<p>Peter Vickers &amp; Associates Pty Ltd is a firm of Chartered Accountants whose principal is Peter Vickers. On 1<sup>st</sup> April 1988 the company took over the practice commenced by Peter Vickers on 1<sup>st</sup> April 1979.</p> <p>Peter Vickers holds Bachelor of Science and Bachelor of Economics degrees from the University of Sydney. He is a Fellow of the Institute of Chartered Accountants in Australia, the Taxation Institute of Australia, the Australian Institute of Company Directors and the Corporate Directors Association of Australia. He is a member of the Financial Planning Association from which he has received the Diploma of Financial Services. He is a registered company auditor, a registered tax agent and a Justice of the Peace for NSW.</p> <p>He is an accomplished sculptor and is thus able to give creative financial planning advice.</p> <p>No other employee or agent of Peter Vickers &amp; Associates Pty Ltd is currently authorised to give specific financial advice for which a financial services licence is required.</p>
<p><b>The Products we can offer you</b></p>	<ul style="list-style-type: none"> <li>* Managed Investments</li> <li>* Allocated Annuities</li> <li>* Superannuation</li> <li>* Securities</li> <li>* Income Protection</li> <li>* Key Person Insurance</li> <li>* Life Insurance</li> <li>* Tax Effective Investments</li> </ul>
<p><b>The Services we can offer you</b></p>	<ul style="list-style-type: none"> <li>* Financial Planning</li> <li>* Share Trading</li> <li>* Superannuation</li> <li>* Retirement Planning</li> <li>* Wealth Creation</li> <li>* Tax Planning</li> <li>* Life Insurance Services</li> </ul>

<p><b>How Peter Vickers &amp; Associates Pty Ltd are paid?</b></p>	<p>Advice and work performed by Peter Vickers &amp; Associates Pty Ltd is charged on an hourly basis. Peter Vickers' minimum charge rate is \$440 per hour inclusive of GST. Work performed by other staff is charged at lower rates based on the staff member's training and experience. Peter Vickers and Associates Pty Ltd does not receive commissions from any product supplier.</p> <p>If the service is provided by Peter Vickers &amp; Associates Pty Ltd, then Peter Vickers Investment Services will not receive any portion of this fee.</p> <p>However in some cases the services that a client requests may result in Peter Vickers Investment Services receiving commissions. These services will be performed by Peter Vickers Investment Services and <b>NOT</b> Peter Vickers &amp; Associates Pty Ltd. In these cases Peter Vickers Investment Services will present to the client a separate Statement of Advice (SoA) outlining any commissions payable.</p>
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